

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Pedro S. Baranda, et al.

Serial No.: 09/031,108

Filed: February 25, 1998

Title: Tension Member for an Elevator

Assistant Commissioner of Patents & Trademarks Washington, DC 20231

Sir:



Date: May 19, 1999

Examiner: Unknown

Art Unit: 3615

RECEIV Bocket No.: OT-4190

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May 19, 1999

INFORMATION DISCLOSURE STATEMEN

Transmitted herewith is an Information Disclosure Statement, Form PTO-1449, submitted in compliance with:

- [X] 37 C.F.R. 1.97(b) This information disclosure statement is filed within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last. No certification, petition or fees required.
- [] <u>37 C.F.R. 1.97(c)</u> This information disclosure statement is filed before the mailing date of either a final action under 37 C.F.R. 1.113 or a notice of allowance under 37 C.F.R. 1.311, whichever occurs first. Box (1) or (3) under <u>CERTIFICATION, PETITION AND FEE</u> has been marked accordingly.
- [] 37 C.F.R. 1.97(d) This information disclosure statement is filed after the mailing date of either a final action under 37 C.F.R. 1.113 or a notice of allowance under 37 C.F.R. 1.311, whichever occurs first. Box (1) and (2) under CERTIFICATION, PETITION AND FEE have been marked accordingly.

CERTIFICATION, PETITION AND FEE

- (1)[] 37 C.F.R. 1.97(e) Certification each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement or, to the knowledge of the person signing the certification after making reasonable inquiry, was not known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the statement.
- (2)[] 37 C.F.R. 1.97(d)(2) Petition Applicant(s) respectfully requests the Commissioner to consider the information disclosure statement included herein. A fee of \$130.00 in accordance with 37 C.F.R. 1.17(I)(1) is due.
- (3)[] \$240.00 fee in accordance with 37 C.F.R. 1.17(p) is due.

Please charge the required fee (if any) to Deposit Account No. 15-0750, Order No. OT-4190 (a duplicate copy hereof is enclosed).

Otis Elevator Company Ten Farm Springs Farmington, CT 06032 (860) 676-5742 Respectfully submitted,

Randy O. Henley

Registration No. **B**5.188